

## PATENT APPLICATION

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79650

Fusao YAMANAKA, et al.

Appln. No.: 10/766,808

Group Art Unit: 2828

Confirmation No.: 3121

Examiner: Not yet assigned

Filed: January 30, 2004

For:

LASER ELEMENT, METHOD OF PRODUCING THE LASER ELEMENT, AND A

LASER MODULE EMPLOYING THE LASER ELEMENT

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/766,808

Q79650

muel #41,574

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: July 14, 2004

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				MODIFIED PTO/SB/08 A & B (06-	
Substitute for Form 1449 A & B/PTO		1	Complete if Known		
ı			Application Number	10/766,808	
ı	INFORMATION DISCLOSURE		Confirmation Number	3121	
↟	PE CASTATEMENT BY APPLICANT		Filing Date	January 30, 2004	
k			First Named Inventor	Fusao YAMANAKA	
ı	(use as many sheets as necessary)	* <b>*</b>	Art Unit	2828	
l	1 6		Examiner Name	Not yet assigned	
ſ	Sheet 1 of 1		Attorney Docket Number	Q79650	

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document	Number	Publication Date MM-DD-YYYY		
Initials*	No.1	Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	
		US				
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or		
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation
		ROLAND DIEHL (Ed.), "High-Power Diode Lasers: Fundamentals, Technology, Applications, With Contributions by Numerous Experts", 2000, pp. preface and 303-367, Springer, Verlag Berlin Heidlelberg, Germany	
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Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.